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LADAS & PARRY LLP 26 WEST 61ST STREET NEW YORK, NY 10023			EXAMINER SHEN, WU CHENG WINSTON	
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/532,681

Applicant(s)

LUKYANOV ET AL.

Examiner

WU-CHENG Winston SHEN

Art Unit

1632

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 07 November 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1.5-11, 13-17 and 27-30 is/are pending in the application.
- 4a) Of the above claim(s) 9-11 and 14-16 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1.5-8, 13, 17 and 27-30 is/are rejected.
- 7) ☒ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 26 April 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date 04/26/2005
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☒ Other: Notice of sequence compliance

DETAILED ACTION

This application 10/532,681 is a 371 of PCT/RU03/00474 filed on 11/05/2003 which claims benefit of 60/425,570 filed on 11/12/2002, and claims benefit of 60/429,795 filed on 11/27/2002, and claims benefit of 60/464,258 filed on 04/21/2003, and claims benefit of 60/480,080 filed on 06/20/2003.

Election/Restriction

Applicant's election with traverse of Group I, claims 1-6, 12, 13, 17, and 18, drawn to **(i)** An isolated nucleic acid molecule comprising nucleotide sequences, which encodes a fluorescent protein having at least 85% identity with an amino acid sequence selected from the group consisting of SEQ ID NOs: 2, 4, 6, 10, 12, 14, 16, 18, 20, and 22 (amended claim 1 filed on 10/27/2008); **(ii)** A vector comprising the nucleic acid molecule according to claim 1 (claim 5), and **(iii)** An expression cassette comprising (a) a transcriptional initiation region that is functional in an expression host; (b) the nucleic acid molecule according to claim 1; and (c) and a transcriptional termination region functional in said expression host (amended claim 6 filed on 10/27/2008), in the reply filed on 10/27/2008 is acknowledged. With regard to further restriction of recited SEQ ID Nos, Applicant elected the amino acid sequence of SEQ ID No. 10 which corresponds to the nucleic acids of SEQ ID No. 9 (See supplemental response filed on 11/07/2008). The traversal is on the ground(s) that **(i)** SEQ IDs 2, 4, 6, 10, 18, 20 represent products of unrelated structure and function, the identified SEQ IDs represent initial natural protein (SEQ ID NO: 2) and its mutants with few sequence modifications. All the identified SEQ IDs have GFP-like domain contributing in fluorescent properties with regions of **high homology**

over the amino acid sequences and are significantly different from other known fluorescent proteins (sequence identity is less than 55% and characteristic gap profile is present). In view of the above the Applicant submits that the claimed SEQ IDs 2, 4, 6, 10, 18, 20 have a common core structure sufficient to meet applicable PCT requirements; **(ii)** Regarding the restriction of Groups I and II, Applicants argues that identification of these groups as nucleic acids and host cells alone fails to establish a lack of technical interrelationship of corresponding special technical features; **(iii)** Regarding the restriction of Groups I and V, Applicants argues that the restriction between Groups I and V is improper and requests withdrawal thereof because these Groups relate to the nucleic acid and method of its use in a recombinant DNA technique for making a protein or polypeptide encoded by a nucleic acid molecule of claim 1.

The traversal is not found persuasive because as stated in the Restriction requirement mailed on 09/25/2008 **(i)** Each nucleic acid molecule encodes a distinct fluorescent protein, which is distinct in structure and function, and requires different processes of excitation and emission for detection. The sequences do not meet the criteria of requirements according to the guidelines in Section (f)(i)(a) of Annex B of the PCT Administrative Instructions, as they do not share, one with another, a common core structure, despite of asserted high homology (i.e. less than 55%), especially in light of recitation of “at least 85% identity” that encompasses up to 15% non-identical sequences located anywhere within any segment of a given SEQ ID No. Accordingly, unity of invention between the nucleic acid (and corresponding amino acid) sequences of the instant application is lacking and each nucleic acid sequence claimed is considered to constitute a special technical feature; Therefore, further restriction to a given SEQ ID No of nucleic acid which corresponds to a given SEQ ID No of amino acid is maintained; For

(ii), upon further consideration, the restriction between non-elected Group II (claims 7 and 8) and elected Group I is *withdrawn*; For (iii), As stated in the Restriction requirement mailed on 09/25/2008, Applicant's claims encompass multiple inventions, multiple products (nucleic acid, protein, antibody, transgenic plant, transgenic animal) and multiple methods (methods of making and methods of using the products), and do not have a special technical feature which link the inventions one to the other, and lack unity of invention. Furthermore, there is no common technical feature in all groups. Additionally, as stated in the Restriction requirement mailed on 09/25/2008 under section titled MPEP 1893.03(d) Unity of Invention Rejoinder, MPEP 1893.03(d) states: If an examiner (1) determines that the claims lack unity of invention and (2) requires election of a single invention, when all of the claims drawn to the elected invention are allowable (i.e., meet the requirements of 35 U.S.C. 101, 102, 103 and 112), the nonelected invention(s) should be considered for rejoinder. Any nonelected product claim that requires all the limitations of an allowable product claim, and any nonelected process claim that requires all the limitations of an allowable process claim, should be rejoined. See MPEP § 821.04 and § 821.04(a). Any nonelected processes of making (and/or using) an allowable product should be considered for rejoinder following the practice set forth in MPEP § 821.04(b). Therefore, the restriction between non-elected Group V (claim 11) pertaining to making of elected invention Group I is maintained, and claim 11 remains as a withdrawn claim.

In the claim set filed on 10/27/2008, claims 2-4, 12, and 18-26 are cancelled. Claims 27-30 are newly added, which are assigned to the elected invention Group I. Accordingly, claims 1, 5-11, 13-17, and 27-30 are pending. Claims 9-11 and 14-16 are withdrawn from further

consideration pursuant to 37 CFR 1.142(b), as being drawn to a nonelected invention, there being no allowable generic or linking claim.

Claims 1, 5-8, 13, 17, and 27-30 are currently under examination to the extent of elected SEQ ID NO: 9 (705 nucleotides) that corresponds to elected SEQ ID No. 10 (234 amino acid residues). Applicant is advised to amend the claim identifiers of claims 11, and 13 in reply to this office action.

The requirement is still deemed proper and is therefore made FINAL.

Priority

It is noted that provisional applications 60/429,795 filed on 11/27/2002, 60/464,258 filed on 04/21/2003, and 60/480,080 filed on 06/20/2003, did not disclose either SEQ ID No: 10 or SEQ ID No: 9. The provisional application 60/425,570 filed on 11/12/2002 discloses SEQ ID No 2 that is identical to the SEQ ID No: 10 of instant application, but 60/425,570 filed on 11/12/2002 did not disclose SEQ ID No.9 of instant application since SEQ ID No. 1 and SEQ ID No. 3 disclosed in 60/425,570 are not the same as SEQ IN No. 9 of instant application

Therefore, the priority date of claim 1, which recites SEQ ID No. 10 and its dependent claims 5-8, 13, 17, 27, 28, and 29 (which is interpreted as a dependent claim of claim 1, see 112 second below) is determined to be 11/12/2002, the filing date of provisional application 60/425,570. The priority date of claim 30, which recites SEQ ID No. 9, is determined to be 11/05/2003, the filing date of PCT/RU03/00474.

Sequence compliance

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 CFR 1.821 through 1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures. **The alignment of the sequences listed in Figure 1 requires a sequence identifier. See MPEP 1.821.** Applicants must file a "Sequence Listing" accompanied by directions to enter the listing into the specification as an amendment. Applicant also must provide statements regarding sameness and new matter with regards to the CRF and the "Sequence Listing."

Applicant is encouraged to identify any other such sequences that may also require sequence identifiers throughout the specification.

Claim Objection

1. Claims 1, 28 and 30 are objected to for being drawn to a non-elected invention. Specifically, Applicants have elected SEQ ID No. 10, which is encoded by SEQ ID No. 9 as elected invention recited in claims 1, 28, and 30 and as such, claim 1 and dependent claims 5, 6, 13, 17, 27, 28, and 30 are examined only to the extent that they read on a SEQ ID No. 10, which is encoded by SEQ ID No. 9. Applicants are required to delete the non-elected subject matter from the instant claims 1, 28, and 30.

Claim Rejection - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

2. Claim 29 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 29 reads as follows: A isolated nucleic acid that hybridizes under stringent conditions to the nucleic acid of claim 26, wherein said nucleic acid encodes a fluorescent protein. However, claim 26 is cancelled.

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Written Description

3. Claims 1, 5-8, 13, 17, and 27-30 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

The claims are directed to an isolated nucleic acid molecule comprising nucleotide sequences, which encodes a fluorescent protein having at least 85% identity with an amino acid sequence selected from the group consisting of SEQ ID NOs: 2, 4, 6, **10**, 12, 14, 16, 18, 20, and 22 (claims 1, 13, and 27-30), a vector and an expression vector comprising the nucleic acid of

claim 1 (claims 5 and 6), a cell comprising the nucleic acid of claim 1 (claims 7 and 8), a kit comprising the nucleic acid of claim 1 (claim 17).

The specification discloses SEQ ID No. 10 (a 234-amino acid long polypeptide) is a humanized version of the phiYFG-M1, which is a mutant form of phiYFP generated by random mutagenesis of phiYFP (an YFP isolated from microorganism *Phialidium* sp.). The specification discloses that SEQ ID No. 9 (a 705-nucleotide long polynucleotide) encodes SEQ ID No. 10. The specification discloses the alignment between GFP (from jelly fish), phiYFP, hydriGFP, and hm2CP in Figure 1. The phiYFP shares only ~50% identity with well characterized GFP (from jelly fish) (See Figure 1 disclosed in specification as well as alignments provided in this office action under 102 rejections below).

Based on sequence search performed by the Examiner, it is noted that SEQ ID No. 10 (phiYFG-M1) shares 96% identity with phiYFP (an YFP isolated from microorganism *Phialidium* sp.), see alignment below.

```
RESULT 1
Q6RY87_9CNID
ID Q6RY87_9CNID Unreviewed; 234 AA.
AC Q6RY87;
DT 05-JUL-2004, integrated into UniProtKB/TrEMBL.
DT 05-JUL-2004, sequence version 1.
DT 24-JUL-2007, entry version 13.
DE Yellow fluorescent protein.
OS Phialidium sp. SL-2003.
OC Eukaryota; Metazoa; Chordata; Hydrozoa; Hydroida; Leptomedusae;
OC Campanulariidae; Phialidium.
OX NCBI_TaxID=258839;
RN [1]
RP NUCLEOTIDE SEQUENCE.
RX PubMed=14963095; DOI=10.1093/molbev/msh079;
RA Shagin D.A., Barsova E.V., Yanushevich Y.G., Pradkov A.F.,
RA Lukyanov K.A., Labas Y.A., Semenova T.N., Ugalde J.A., Meyers A.,
RA Humez J.M., Wilder E.A., Lukyanov S.A., Matz M.V.
RT "GFP-like proteins as ubiquitous metazoan superfamily: evolution of
RT functional features and structural complexity.":
RL Mol. Biol. Evol. 21:841-850(2004).
CC -----
CC Copyrighted by the UniProt Consortium, see http://www.uniprot.org/terms
CC Distributed under the Creative Commons Attribution-NoDerivs License
CC -----
DR EMBL: AY485333; AAR85349.1; -; mRNA.
DR RSP: P41221; 1BP.
DR GO: GO:0008218; Bioluminescence; IEA:InterPro.
DR GO: GO:0060091; P:generation of precursor metabolites and energy; IEA:InterPro.
DR GO: GO:0018298; P:protein-chromosome linkage; IEA:InterPro.
DR InterPro: IPR01586; GFP-related.
DR InterPro: IPR000786; Green_F1_protein.
DR Pfam: PF01353; GFP; 1.
DR PRINTS: PR01229; GFLDORSCBRT.
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DR ProDom: PD013756; Green_fl_protein; 1.
PE 2: Evidence at transcript level;
SQ SEQUENCE 234 AA: 26051 MW: 087F2D8AAE735D9A CRC64;

Query Match 96.0% Score 1231; DB 2; Length 234;
Best Local Similarity 96.6% Pred. No. 1.2e+192;
Matches 226; Conservative 3; Mismatches 5; Indels 0; Gaps 0;

Qy 1 MSSGALLFHGKIPYVVMESGNVDGHTFS1AGKGYGDASVGRVDAQFICTTGDDVPVFMSTL 60
Db 1 MSSGALLFHGKIPYVVMESGNVDGHTFS1AGKGYGDASVGRVDAQFICTTGDDVPVFMSTL 60

Qy 61 VTTLTGACFAKYGPFLKDFYKSCMPDQVQERTITFEGDGNFKTRAEVTFENGSEVYNR 120
Db 61 VTTLTGACFAKYGPFLKDFYKSCMPDQVQERTITFEGDGNFKTRAEVTFENGSEVYNR 120

Qy 121 VKLNQGFKKDGHVLGNLEFNFTPHCLYTWDQANHLKSAFKICHEITGSEKDFIVAD 180
Db 121 VKLNQGFKKDGHVLGNLEFNFTPHCLYTWDQANHLKSAFKICHEITGSEKDFIVAD 180

Qy 181 HTQMNTPIGGGPVHPVEYHNSYHVKLSKDVTDHRDNMELKETVRVADCRCTYL 234
Db 181 HTQMNTPIGGGPVHPVEYHNTHTVTLSEKDVTDHRDNMELKETVRVADCRCTYL 234
```

The specification does not provide any information regarding the structure-function correlation of phiYFP in terms which amino acids are necessary and sufficient for phiYFP to be a fluorescent protein. The nucleotide sequences that encodes a fluorescent protein with at least 85% identity with SEQ ID No. 10, variants, and fragments thereof encompassed within the genus of nucleotide molecules encodes 85% fluorescent protein with at least 85% identity with SEQ ID No. 10, have not been disclosed. The specification discloses isolation of polynucleotide SEQ ID No. 9 encoding polypeptide SEQ ID No. 10 by random mutagenesis. There is no evidence on the record of a relationship between the structure of any nucleic acid encoding a fluorescent protein and the claimed nucleic acid molecules encodes a fluorescent protein with at least 85% identity with SEQ ID No. 10, over the entire length of SEQ ID No: 10, that would provide any reliable information about the structure of other nucleic acid encoding a fluorescent protein within the genus. In the absence of a functional assay it would not be possible to test variants of the claimed sequences for biological activity. Also with regard to the allelic variants encompassed by the claims, the skilled artisan cannot envision the structure of such a variant because such variants are randomly produced in nature, and cannot be predicted from a known

sequence. The specification does not teach any characteristics of an “allelic” variant that would distinguish it from a non-natural variant constructed by the hand of man. In view of the above considerations one of skill in the art would not recognize that applicant was in possession of the necessary common features or attributes at sequence level possessed by member of the genus. Consequently, since Applicant was in possession of only the nucleotide sequences SEQ ID No.10 encoded by SEQ ID No. 9 and since the art recognized variation among the species of the genus of nucleic acid molecules encodes a fluorescent protein with at least 85% identity with SEQ ID No. 10, the SEQ ID No. 9 encoding SEQ ID No. 10 was not representative of the claimed genus. This is because the amino acids that are necessary and sufficient for phiYFP to be a fluorescent protein have not been disclosed and SEQ ID No. 9 encoding SEQ ID No. 10 was obtained by random mutagenesis. Therefore, Applicant was not in possession of the genus of the nucleotide sequences that encodes a fluorescent protein with at least 85% identity with SEQ ID No. 10 over the entire length of SEQ ID No. 10 as encompassed by the claims.

It is further noted that claim 29 (which is interpreted as a dependent claim of claim 1) is directed to the limitation “hybridization under stringent conditions”. The specification only discloses an example (a species) of various conditions that Applicant regards as “stringent conditions”. The art recognizes that “hybridization under stringent conditions” is determined by variations in multiple factors (detergents, salts, hydrogen bond competitor, and temperatures etc.). Therefore, the genus encompassed by “hybridization under stringent conditions” is not described to render a skilled artisan to possess the sequences by hybridization that encodes a fluorescent protein having at least 85% identity with SEQ ID No. 10. University of California v. Eli Lilly and Co., 43 USPQ2d 1398, 1404, 1405 held that to fulfill the written description

requirement, a patent specification must describe an invention and do so in sufficient detail that one skilled in the art can clearly conclude that "the inventor invented the claimed invention."

Scope of Enablement

4. Claims 1, 5-8, 13, 17, and 27-30 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for an isolated nucleic acid molecule comprising of SEQ ID No. 9 that encodes a fluorescent protein consisting of SEQ ID No. 10, and a vector/cell/kits comprising SEQ ID No. 9 that encodes a fluorescent protein consisting of SEQ ID No. 10, **does not** reasonably provide enablement for (1) any isolated nucleic acid molecule encodes a fluorescent protein other than SEQ ID No. 9 that encodes a fluorescent protein consisting of SEQ ID No. 10, or (2) any vector/cell/kits comprising any isolated nucleic acid molecule encodes a fluorescent protein other than SEQ ID No. 9 that encodes a fluorescent protein consisting of SEQ ID No. 10. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention commensurate in scope with these claims.

Enablement is considered in view of the *Wands* factors (MPEP 2164.01(a)). The court in *Wands* states: "Enablement is not precluded by the necessity for some experimentation such as routine screening. However, experimentation needed to practice the invention must not be undue experimentation. The key word is 'undue,' not 'experimentation.'" (*Wands*, 8 USPQ2d 1404). Clearly, enablement of a claimed invention cannot be predicated on the basis of quantity of experimentation required to make or use the invention. "Whether undue experimentation is needed is not a single, simple factual determination, but rather is a conclusion reached by weighing many factual considerations." (*Wands*, 8 USPQ2d 1404). The factors to be considered

in determining whether undue experimentation is required include: (1) the quantity of experimentation necessary, (2) the amount or direction or guidance presented, (3) the presence or absence of working examples, (4) the nature of the invention, (5) the state of the prior art, (6) the relative skill of those in the art, (7) the predictability or unpredictability of the art, and (8) the breadth of the claims. While all of these factors are considered, a sufficient amount for a *prima facie* case is discussed below.

The basis of this scope of enablement is hinged on the lack of enabling support on the structure/function relationship to make and use any isolated nucleic acid molecule comprising nucleotide sequences encoding a fluorescent protein having at least 85% identity with SEQ ID No. 10.

The nature of the instant invention is drawn to an isolated nucleic acid molecule comprising nucleotide sequences, which encodes a fluorescent protein having at least 85% identity with an amino acid sequence selected from the group consisting of SEQ ID NOs: 2, 4, 6, **10**, 12, 14, 16, 18, 20, and 22 (claims 1, 13, and 27-30), a vector and an expression vector comprising the nucleic acid of claim 1 (claims 5 and 6), a cell comprising the nucleic acid of claim 1 (claims 7 and 8), a kit comprising the nucleic acid of claim 1 (claim 17).

The breadth of the claims encompasses any isolated nucleic acid molecule encodes a fluorescent protein in addition to SEQ ID No. 9 that encodes a fluorescent protein consisting of SEQ ID No. 10, and any vector/cell/kit comprising any isolated nucleic acid molecule encodes a fluorescent protein in addition to SEQ ID No. 9 encodes a fluorescent protein consisting of SEQ ID No. 10.

The specification discloses SEQ ID No. 10, a 234-amino acid long polypeptide, is a humanized version of the phiYFG-M1, which is a mutant form of phiYFP generated by random

_____ of phiYFP (an YFP isolated from microorganism *Philalidium* sp.). The specification discloses that SEQ ID No. 9 (a 705-nucleotide long polynucleotide) encodes SEQ ID No. 10. The specification discloses the alignment between GFP (from jelly fish), phiYFP, hydriGFP, and hm2CP in Figure 1. The phiYFP shares only about 50% identity with well characterized GFP (from jelly fish) (See Figure 1 disclosed in specification as well as alignments provided in this office action under 102 rejections).

Based on sequence search performed by the Examiner, it is noted that SEQ ID No. 10 (phiYFG-M1) shares 96% identity with phiYFP (an YFP isolated from microorganism *Philalidium* sp.), see alignment in the preceding written description rejection.

The specification does not provide any guidance regarding the structure-function correlation of phiYFP in terms which amino acids are necessary and sufficient for phiYFP to be a fluorescent protein. It would require undue experimentation for an artisan to determine which amino acids are necessary and sufficient for phiYFP-M1 (i.e. the claimed SEQ ID No. 10) to be a fluorescent protein to support the breadth of the claims.

In the art, it is unpredictable how variations of sequences in a given fluorescent protein would affect its function as a fluorescent protein. For instance, **Shagi et al.** teaches that homologs of the green fluorescent protein (GFP), including the recently described GFP-like domains of certain extracellular matrix proteins in Bilaterian organisms, are remarkably similar at the protein structure level, yet they often perform totally unrelated functions, thereby warranting recognition as a superfamily (See Shagin et al., GFP-like proteins as ubiquitous metazoan superfamily: evolution of functional features and structural complexity, *Mol Biol Evol.* 21(5):841-50, 2004).

In view of the state of the art, the unpredictability in the art, and the lack of specific guidance and working examples in the specification, one of skill in the art would have to perform undue experimentation to make and use the claimed invention as recited in claims 1, 5-8, 13, 17, and 27-30.

Claim Rejection - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claims 1, 5-8, 13, 17, and 27-30 are rejected under 35 U.S.C. 102(e) as being anticipated by Baubet et al. (Baubet et al., US 2008/0213879, publication date 09/04/2008, Division of US 6,936,475, which is a Continuation of PCT/EP01/07057, WO 2001/092300, filed on 06/01/2001).

The following claim interpretations are applied in this rejection.

(i) Claim 1 reads as follows: An isolated nucleic acid molecule comprising nucleotide sequences, which encodes a fluorescent protein having at least 85% identity with an amino acid sequence selected from the group consisting of SEQ ID NOs: 2, 4, 6, **10**, 12, 14, 16, 18, 20, and 22. Claim 1 reads on any isolated nucleic acid molecule comprising nucleotide sequences, which

encodes a fluorescent protein having amino acid sequences that have at least 85% identity of any fragment of SEQ ID No. 10. It is emphasized that the phrase “consisting of SEQ ID NOs: 2, 4, 6, **10**, 12, 14, 16, 18, 20, and 22” is only limiting to one of recited SEQ ID No and does not limit the transitional term “comprising” recited in line 1 of claim 1. In this regard, MPEP 2111.02 states: In determining the scope of applicant’s claims directed to “a purified oligonucleotide comprising at least a portion of the nucleotide sequence of SEQ ID NO:1 wherein said portion consists of the nucleotide sequence from ... to 2473 of SEQ ID NO:1, and wherein said portion of the nucleotide sequence of SEQ ID NO:1 has promoter activity,” the court stated that the use of “consists” in the body of the claims did not limit the open-ended “comprising” language in the claims (emphases added). *Id.* at 1257, 73 USPQ2d at 1367.

(ii) Claim 13 reads as follows: A nucleic acid molecule having a sequence that is substantially the same as, or identical to a nucleotide sequence of at least 300 residues in length of the nucleic acid molecule according to claim 1. The limitation “at least 300 residues in length of the nucleic acid molecule” reads on those identical sequences that are not necessarily continuous.

(iii) Claim 29 is interpreted as a dependent claim of claim 1, rather than a dependent claim of claim 26, which is cancelled.

With regard to claims 1, 5-8, 13, and 27-30, Baubet et al. teaches a modified bioluminescent system comprising a fluorescent molecule covalently linked with a photoprotein, wherein said link between the two proteins has the function to stabilize the modified bioluminescent system and allowing the transfer of the energy by Chemiluminescence Resonance Energy Transfer (CRET) in a host cell (See abstract and Figures 9-11, Baubet et al. US

2008/0213879). Baubet et al. teaches DNA construct with CMV promoter drive the expression of nucleic acid sequences encoding sequences of mutated GFP, followed by the sequences of Poly A of SV40 (See Figure 1, Baubet et al. US 2008/0213879)

With regard to the limitation “kit” recited in claim 17, Baubet et al. teaches kit for measuring the transfer of energy *in vivo* or *in vitro* contains at least one of the polypeptides according to the invention or the polynucleotide according to the invention and the reagents necessary for visualizing or detecting the said transfer in presence or in absence of a molecule of interest (See paragraph [0027], Baubet et al., US 2008/0213879)

The following sequence alignments are SEQ ID No. 10 and SEQ ID No. 9 of instant application aligned with disclosed SEQ ID Nos by Baubet et al. (Baubet et al., US 2008/0213879).

(A) Alignment of SEQ ID No. 10 of instant application with SEQ ID Nos 1-6 of Baubet et al.

```
RESULT 1
US-11-149-177-1 (SEQ ID No. 1)
; Sequence 1, Application US/11149177
; Publication No. US20080213879A1
; GENERAL INFORMATION
; APPLICANT: BAUBET, VALERIE
; APPLICANT: LE MORELLEC, HERVE
; APPLICANT: BAULET, PHILIPPE
; TITLE OF INVENTION: CHIMERIC GFP-ABQUORIN AS BIOLUMINESCENT Ca++ REPORTERS
; TITLE OF INVENTION: AT THE SINGLE CELL LEVEL
; FILE REFERENCE: 03490-1207-0000
; CURRENT APPLICATION NUMBER: US/11/149,177
; CURRENT FILING DATE: 2005-06-10
; PRIOR APPLICATION NUMBER: 09863901
; PRIOR FILING DATE: 2001-03-24
; PRIOR APPLICATION NUMBER: 60/208,314
; PRIOR FILING DATE: 2000-06-01
; PRIOR APPLICATION NUMBER: 60/210,526
; PRIOR FILING DATE: 2000-06-06
; PRIOR APPLICATION NUMBER: 60/255,111
; PRIOR FILING DATE: 2000-12-14
; NUMBER OF SEQ ID NOS: 48
; SOFTWARE: PatentIn Ver. 2.1
; SEQ ID NO 1
; LENGTH: 432
; TYPE: PAT
; ORGANISM: Aequorea victoria
US-11-149-177-1

Query Match          50.5%; Score 648; DB 4; Length 432;
Best Local Similarity 53.9%; Pred. No. 6.5e+60;
Matches 123; Conservative 40; Mismatches 61; Indels 4; Gaps 2;

Qy          1 MGGGALLPHGKIPYVVEKGNVDGHTFSTRGKYGNDASVGKVDQFICTTGDFVFMSTL 60
```



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1 PRIOR APPLICATION NUMBER: 60/210,526
2 PRIOR FILING DATE: 2000-06-06
3 PRIOR APPLICATION NUMBER: 60/255,111
4 PRIOR FILING DATE: 2000-12-14
5 NUMBER OF SEQ ID NOS: 48
6 SOFTWARE: PatentIn Ver. 2.1
7 SEQ ID NO 3
8 LENGTH: 450
9 TYPE: PAT
10 ORGANISM: Aequorea victoria
11 US-11-149-177-3

```

Query Match 50.5%; Score 648; DB 4; Length 450;
Best Local Similarity 53.9%; Pred. No. 6.9e-60;
Matches 123; Conservative 40; Mismatches 61; Indels 4; Gaps 2;

[illegible]

```

RESULT 4
US-11-149-177-4 (SQ# ID No. 4)
  Sequence 4, Application US/11/149177
  Publication No. US2008213879A1
  GENERAL INFORMATION
    APPLICANT: BAURET, VALERIE
    APPLICANT: MUELLER, HERVE
    APPLICANT: BAURET, PHILIPPE
    TITLE OF INVENTION: CHIMERIC GFP-ABEQUORIN AS BIOLUMINESCENT Ca++ REPORTERS
    TITLE OF INVENTION AT THE SINGLE CELL LEVEL
    FILE REFERENCE: 03495-0207-00000
    CURRENT APPLICATION NUMBER: US/11/149,177
    CURRENT FILING DATE: 2005-06-10
    PRIOR APPLICATION NUMBER: 03863901
    PRIOR FILING DATE: 2001-05-24
    PRIOR APPLICATION NUMBER: 60/208,314
    PRIOR FILING DATE: 2000-06-01
    PRIOR APPLICATION NUMBER: 60/210,526
    PRIOR FILING DATE: 2000-06-06
    PRIOR APPLICATION NUMBER: 60/255,111
    PRIOR FILING DATE: 2000-12-14
    NUMBER OF SEQ ID NOS: 48
    SOFTWARE: Patentin Ver. 2.1
    SEQ ID NO 4
    LENGTH: 468
    TYPE: PAT
    ORGANISM: Aequorea victoria
US-11-149-177-4

```

Query Match 50.5%; Score 648; DB 4; Length 468;
Best Local Similarity 53.9%; Pred. No. 7.3e-60;
Matches 123; Conservative 40; Mismatches 61; Indels 4; Gaps 2;

[illegible]

Qy	1	MSSGALLFHKPIPPYVMEGNVDHRTFISIRGKYGYNASVGVNQPICITGSDVFWPMSTL	60
Db	430	MSKTELEGLFVGVPIIWLGDGVNIGKFSVSGSGDQVATGKGLTFLITCTGKLPVLVQPT	489
Qy	61	VTLTLYGACQATCYEPLK--DTYKSPQDGVQVETRTFEGDGNFKTRASVFEENGSIY	118
Db	490	VTLTLYGVQVCFISRPYDHMKQDFFKSPAMPSGVQVQRTTFKFDGNVKTASVFEEDGTL	549
Qy	119	NAVKLQQGQFKKQDGLVQKLELWETPCLYILMQDANILSKAFKICHELITGSKGDEIV	178
Db	550	NRIELKGIQDFKDGNTLQKLELYVNSHHVYIMADQKQKGIKAFKIRHNT--EDGSVQL	607
Qy	179	ADHTQNTPTIGGGFVNVYHHSYHVLKSDVTDHNNDSNLTAVTA	226
Db	608	ADHTQNTPTIGGGFVNVYHHSYHVLKSDVTDHNNDSNLTAVTA	655

```

> RESULT 1
US-11-149-177-9 (SEQ ID No. 9)
# Sequence 9, Application US/11149177
# Publication No. US20080213879A1
# GENERAL INFORMATION
# APPLICANT: SAURET, VALERIE
# APPLICANT/INVENTOR: HERVE
# APPLICANT: SAURET, PHILIPPE
# TITLE OF INVENTION: CHEMICALLY GFT-AQUORINUS AS BIOLUMINESCENCE Ca++ REPORTERS
# TITLE OF INVENTION IN THE SINGLE CELL LEVEL
# FILE REFERENCE: 03495-0207-00000
# CURRENT APPLICATION NUMBER: US/11/149,177
# CURRENT FILING DATE: 2005-06-06
# PRIOR APPLICATION NUMBER: 03863901
# PRIOR FILING DATE: 2001-05-24
# PRIOR APPLICATION NUMBER: 60/208,314
# PRIOR FILING DATE: 2000-06-01
# PRIOR APPLICATION NUMBER: 60/210,526
# PRIOR FILING DATE: 2000-06-06
# PRIOR APPLICATION NUMBER: 60/255,111
# PRIOR FILING DATE: 2000-12-14
# NUMBER OF SEQ ID NOS: 48
# SOFTWARE: PatentIn Ver. 2.1
# SEQ ID NO 9
# LENGTH: 1350
# TYPE: DNA
# ORGANISM: Aequorea victoria
US-11-149-177-9

```

Query Match	47.1%	Score 332.2	DB 3	Length 1350;
Best Local Similarity	70.1%	Pred. No. 1.2e-79;		
Matches	480	Conservative	0	Mismatches 193; Indels 12; Gaps 2
Qy	1	A T G A C G A G G G C G C C C T G T G T T C C A C G A C A A G A T C C C T A C G T G T G A G A T G A G G C	60	
Db	1	A T G A C A A G G G G A G A G T G T T C A C C G G G T G T G C C C A T C T G T G C A G C T G A C G C	60	
Qy	61	A A T G T G A G T G C C A C A C T T C A G C A T C C G C C A A G G C T A C G C G A T T G C A C G T G T G G C	120	
Db	61	G A C T A A A G G C C A A A G T C A G C G T T G T C G G C G A G G G C A G G G C A T G C A C C T A C G C	120	
Qy	121	A A G T G A C C G C C A G T T C A T C T G C A C C A C G C A G T G T G C C G T G C C T G A G A C A C T G T	180	
Db	121	A A G T G A C C T A A G T T C A T C T G C A C A C C G A C G A A G T G C C G T G C C T G G C C A C C T C	180	
Qy	181	G T A C C A C C T G A C T A C G C G C C A G T G T T C G C A A G T A C G C C C G A G T G A A G ---	237	
Db	181	G T A C A C C C T G A C T A C G C G T G C T T C A C G C G T A C C C C A G A C C A T A A G A A C	240	
Qy	238	--- G A T T T C A C A G G C T G A C G C C C A G T G C T A G C T G A G A G G C A C A C T A C T C	294	
Db	241	C A G A C T T T C A A G T C G C A C C C G A A G G T T A C G T C C A G A G C G A C A C T T T T C	300	
Qy	295	G A G G C G A T G C A A T T T C A A G A C C C G C G C G A G T G A C T T C G A A G A T G G A C A C G T G A C	354	
Db	301	A A G A C G A G G C A A C T A A A G A C C C G C G C A G T T A A G T T C A G A G C G A C A C C T T G T G	360	
Qy	355	A A T C C G T G A A G C T G A A T G C C A G G C T T C A A A G A A G A T G G C C A C T G T G C G C A A A T	414	
Db	361	A A T C C A T C A G C A G C A G C A T G A C T T G A C T T A A G A G A G C A G C A A C A T T T G B B C A A C	420	

Qy	415	CTGGAGTTCAAATTCACCCCCCACTGCTGTACATCTAGGGGCGATCAGGCGCAATCACGCG	474
Db	415	CTGGAGTTCAAATTCACCCCCCACTGCTGTACATCTAGGGGCGATCAGGCGCAATCACGCG	474
Qy	421	CTGGAGTCAACCTCAACGACGACACAGCTCATATCATCGGCGACGAGTCAAGAGACGCG	480
Db	421	CTGGAGTCAACCTCAACGACGACACAGCTCATATCATCGGCGACGAGTCAAGAGACGCG	480
Qy	427	CTGGAAGCGGCTTCAAGATCTGCCACAGATACCGGCGACCAAGGCGATTCATCTGCG	474
Db	427	CTGGAAGCGGCTTCAAGATCTGCCACAGATACCGGCGACCAAGGCGATTCATCTGCG	474
Qy	481	ATCAGGCTCAACTCTAGAGTCCGCCACAACTACGAGGACGCGCACGCTCAGCTC-----	534
Db	481	ATCAGGCTCAACTCTAGAGTCCGCCACAACTACGAGGACGCGCACGCTCAGCTC-----	534
Qy	535	GCGCATCACACCCAGATGAATACCCCACTCGCGCGGCGCCCGTGCACCTGCCCGAGTAC	594
Db	535	GCGCATCACACCCAGATGAATACCCCACTCGCGCGGCGCCCGTGCACCTGCCCGAGTAC	594
Qy	539	GCGCGACGCTATCCGACGAGAACCCCTCATCGGCGACGCGCCCTGCTCTCGGCGACGAC	594
Db	539	GCGCGACGCTATCCGACGAGAACCCCTCATCGGCGACGCGCCCTGCTCTCGGCGACGAC	594
Qy	595	CACCACTATGACTACAGCTGAAGCTGACGACGAGTGTGACGATCTCTGCGGCAATAATG	654
Db	595	CACCTACTCTGACACCGAGTCCGCGCTGACGACGAGGCGCCACGACGAGGCGGATCATGT	654
Qy	655	AGGCTCAAGAGAGACCTCTCCGCGCG	679
Db	655	AGGCTCTCTGGAGTCTGTGACGCG	679

```

RESULT 2
US-11-149-177-10 (SEQ ID NO. 10)
: Sequence 10, Application US/11149177
: Publication No. US2008023879A1
: GENERAL INFORMATION
: APPLICANT: BAUBST, VALERIE
: APPLICANT: MOELLICH, HEINKE
: APPLICANT: BAUBST, PHILIPPE
: TITLE OF INVENTION: CHIMERIC GFP-AEQUORIN AS BIOLUMINESCENT Ca++ REPORTERS
: TITLE OF INVENTION: AT THE SINGLE CELL LEVEL
: FILE REFERENCE: 0349-0207-0000
: CURRENT APPLICATION NUMBER: US/11/149,177
: CURRENT FILING DATE: 2005-06-10
: PRIOR APPLICATION NUMBER: 09663901
: PRIOR FILING DATE: 2001-05-24
: PRIOR APPLICATION NUMBER: 60/208,314
: PRIOR FILING DATE: 2000-06-03
: PRIOR APPLICATION NUMBER: 60/210,526
: PRIOR FILING DATE: 2000-06-06
: PRIOR APPLICATION NUMBER: 60/255,111
: PRIOR FILING DATE: 2000-12-14
: NUMBER OF SEQ ID NOS: 48
: SOFTWARE: PatentIn Ver. 2.1
: SEQ ID NO 10
: LENGTH: 1404
: TYPE: DNA
: ORIGIN: Aequorea victoria
US-11-149-177-11

```

Query Match 47.1%; Score 332.2; DB 3; Length 1404;
Best Local Similarity 70.1%; Pred. No. 1.2e-73;
Matches 480; Conservative 0; Mismatches 193; Indels 12; Gaps 2

QY	1	ATGAGCAGCGCCCTCTGCTGCTTCCACGCAAGATCCCTCATCGTGGTGGAATGAGAGGC	60
DB	1		
QY	1	ATGAGCAGCGCCGAGAGCTGTTCACCGGGTGGTGGCCATCTGCGTCTGAGCTGACGAGGC	60
DB	1		
QY	61	AATGTGTGAGTCCGACATCTCAGCATCTCGCGGAGGATCGCTGATGTCAGCTGACGAGGC	120
DB	61		
QY	61	GACGTAAAGCGCCACAGTGTCAAGGTGTCTCGCGGAGGCGGAGGCGATGCCATTCAGGC	120
DB	61		
QY	121	AAGTGTGAGTCCCGAGTTCATCTGCAACACCGGCGATGTGGCGTGGCCGTGGAGCACCTG	180
DB	121		
QY	121	AAGCTGACCTTGAGTTCATCTGCAACACCGAGGACGTGGCGTGGCCGTGGCCACCTG	180
DB	121		
QY	181	GTGACCACTCTGACTTCAAGCGGCGGCTGCTCTGCAAGATGAGCCGCGGAGTGAAG---	237
DB	181		
QY	181	GTGACCACTCTGACTTCAAGCGGTGCAAGTGTCTGACGCGTATCCCCGACACATGAAGCA	240
DB	181		
QY	238	---GATTTCATCAAGAGTGCATGCGCCGATGGCTCATGTGACAGAGAGCGACCATCACTTC	294
DB	238		
QY	241	CACGATCTCTCAAGTCTGACGTGACGCCGAGAGCTACGTCCAGAGAGCGACCATCTTCTC	300
DB	241		
QY	295	AGGAGCGCATGCACATCTCAAGCAAGCCGCGAGGTGATCTCAGAAATGGCAGCGTGTG	354
DB	295		
QY	301	AAGGACGACGCAACTCAAGACCCGCGCGAGTGAAGTGTGAGGCGGACACCTCGTGTG	360
DB	301		
QY	355	AATCGSTGAAGCTGAATGACAGGCTCATCAAGAGATGGCCACGTCTGGGCAAGAT	414
DB	355		
QY	361	AACCGCATCAGCTGAAGGGCATGCAGTCTCAAGGAGGACGGACACATCTGGGCGCAGC	420
DB	361		

Art Unit: 1632

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      ||||| ||| || | ||| || ||| || ||| ||| ||| |||
Db      421 CTGGAGTACAACTACAACAGCCACACGCTATATCATGCGGACAGCAGAAACGCG 480
Qy      475 CTGAAGAGCGCCCTCAAGATCTGCCACGAGATACCGCGGACGAAGGGCGATTTCATCGTG 534
      ||| ||||| |||| ||| ||| ||| ||| ||| |||
Db      481 ATCAAGGCCAACTTCAAGATCCGCCAACAACATCGAGGACGCGACGCTCAGCTC----- 534
Qy      535 GCGGATCACACCCAGATGAATACCCCATCGCGCGGCGCCCGTGCACCTGCCGAGTAC 594
      ||||| ||| |||| ||| ||||| ||||| ||||| ||||| |||
Db      535 GCGGACCACTACACGACGACACCCCATCGCGGACGCGCCCGTGCCTGCCGCAAC 594
Qy      595 CACCACTAGAGCTACCACTGAGCTGACGAAGATGTGACCGCATACCGGATATATG 654
      ||| ||| |||| ||| ||||| || ||| || ||||| || |||
Db      595 CACTACTGAGCACCAGTCCGCCCTGAGCAAGACCCCAACGAGAGCGCGATCATGT 654
Qy      655 AGCCTGAAGGACCCCTCGCGCGCG 679
      |||| |||| |||| ||||
Db      655 GTCTCTGCTGAGTTCGTGACCGCG 679

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RESULT 3

US-11-149-177-11 (SEQ ID No. 11)

Sequence 11, Application US/11149177

Publication No. US20080213879A1

GENERAL INFORMATION

APPLICANT: BAUNET, VALERIE

APPLICANT/INVENTOR: HERVE

APPLICANT/INVENTOR: BAULET, PHILIPPE

TITLE OF INVENTION: CHIMERIC GFP-ABQUORIN AS BIOLUMINESCENT Ca++ REPORTERS

TITLE OF INVENTION: AT THE CELL LEVEL

FILE REFERENCE: 03495-0207-00000

CURRENT APPLICATION NUMBER: US/11/149,177

CURRENT FILING DATE: 2005-06-10

PRIOR APPLICATION NUMBER: 09863901

PRIOR FILING DATE: 2001-05-24

PRIOR APPLICATION NUMBER: 60/208,314

PRIOR FILING DATE: 2000-06-01

PRIOR APPLICATION NUMBER: 60/210,526

PRIOR FILING DATE: 2000-06-06

PRIOR APPLICATION NUMBER: 60/255,111

PRIOR FILING DATE: 2000-12-14

NUMBER OF SEQ ID NOS: 48

SOFTWARE: PatentIn Ver. 2.1

SEQ ID NO 11

LENGTH: 1431

TYPE: DNA

ORGANISM: Aequorea victoria

US-11-149-177-11

```

Query Match      47.14; Score 32.2; DB 3; Length 1431;
Best Local Similarity 70.14; Pred. No. 1.2e-73;
Matches 480; Conservative 0; Mismatches 193; Indels 12; Gaps 2;

Qy      1  ATGAGCAGCGCGCCCTGCTGTTCCACGCAAGATCCCTCATGCTGGAGAGTGAAGGCG 60
      ||||| |||| ||||| ||| ||| ||| ||| ||| |||
Db      1  ATGAGCAGCGCGGAGGAGCTGTTCCACCGGGGTGTGCTCATCTTGTGTCAGCTGACGCG 60
Qy      61  AATGTGAGTGGCCACACCTTTCAGCATCCGCGGCTACGGGCTACGGGAGTGCACGTGGCG 120
      || | || ||||| ||||| || ||| ||||| ||||| |||
Db      61  GACGTAAACGGCACAAGATTCAGCGTGTGTCGCGGAGGGCGCAGGGCGATGCCACCTACGCG 120
Qy      121  AAGGTGAGTGGCCAGTTTATCTGCAACCACCGCGATGTGCGGCTGGAGCACCCTG 180
      || || ||| ||||| ||||| ||||| || ||||| ||||| |||||
Db      121  AAGGTGACCCTGAAATTTATCTGCAACCACCGCAAGCTGCCCTGTGCGCTGGCGCACCCTC 180
Qy      181  GTGACCACCTTGACCTACGGCGCCAGTGTCTGCCAAGTACGGCCCCGAGCTGAAG--- 237
      ||||| ||||| ||||| ||||| ||||| || || | |||||
Db      181  GTGACCACCTTGACCTACGGCGTGCAGTGTCTGACCGCTACCCGACACCATGAAGCAG 240
Qy      238  ---GATTTCACAGAGCTGCAATGCCGATGGCTACGTGACGAGCGCACCATCACCCTC 294
      || |||| ||| || ||||| ||||| ||||| ||||| |||||
Db      241  CACGACTCTTCAAGTCGCGCATGCGCGAAGGCTACGTCAGGAGCGCACCATCTTCTTC 300
Qy      295  GAGGGCGATGGCAATTTCAAGACCCCGCGCGAGGTGACCTTCGAGAAATGGCAGCGTGTAC 354
      || || || ||||| || ||||| ||||| ||||| || ||| |||
Db      301  AAGGACGACGCACTACAGACCCGCGCGAGGTGAAGTTCGAGGGCGCACCCCTGGTG 360
Qy      355  AATCGCTGAAGCTGAATGGCAGGGCTTCAAGAAGGATGGCCACGTGCTGGGCAAGAT 414
      || ||| || ||||| ||| || ||||| ||||| || ||| |||
Db      361  AACCGCATCGAGCTGAAGGGCATGACCTTCAAGGAGGACGCGCAACATCTGGGGCAAG 420
Qy      415  CTGGAGTTCATTTTCACCCCCATGCTGTATCATCTGGGCGATCAGGCCAATCAGCGC 474
      ||||| ||| || | ||| || ||| || ||| || ||| |||

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Art Unit: 1632

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Db      421 CTGGAGTACAACTCAACAGCCACAACGTCATATCATGCGGACAGCAGGAAGACGCG 480
Qy      475 CTGAAGAGCGCCCTTCAAGATCTGCCACGAGATCACCGCGCAGCAAGGGCGATTTCATCGTG 534
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db      481 ATCAAGGCGCAACTTCAAGATCCGCCACAACATCGAGGACGCGACGCTGCAGCTC----- 534
Qy      535 GCGGATCAACACCCAGATGAATACCCCTTCGCGCGCGGCCCGTGACGCTGCCGAGTAC 594
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db      535 GCGGACCACTACGAGCAGAACACCCCTTCGCGCAGCGGCCGCTGCTGCTGCCGAGAAC 594
Qy      595 CACCATAGAGCTACCACTGGAAGCTGAGCAAGATGTGACCGATCACCGGATGAATATG 654
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db      595 CACTACTTGAGCAGCCAGTCCGCGCTGAGCAAGACCCCAAGAGAGCGCGATCACATG 654
Qy      655 AGCCTTGAAGGAGACCTGCGCGCGG 679
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db      655 GCTCTGCTGGAGTTCGTGACCGCG 679

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RESULT 4

US-11-149-177-8 (SEQ ID No. 8)

Sequence 8, Application US/11149177

Publication No. US20080213879A1

GENERAL INFORMATION

APPLICANT: BAUBET, VALERIE

APPLICANT: MUELLER, HERVE

APPLICANT: BULET, PHILIPPE

TITLE OF INVENTION: CHIMERIC GFP-AQUORIN AS BIOLUMINESCENT Ca++ REPORTERS

TITLE OF INVENTION: AT THE SINGLE CELL LEVEL

FILE REFERENCE: 03495-0207-00000

CURRENT APPLICATION NUMBER: US/11/149,177

CURRENT FILING DATE: 2005-06-10

PRIOR APPLICATION NUMBER: 09863901

PRIOR FILING DATE: 2001-05-24

PRIOR APPLICATION NUMBER: 60/208,314

PRIOR FILING DATE: 2000-06-01

PRIOR APPLICATION NUMBER: 60/210,526

PRIOR FILING DATE: 2000-06-06

PRIOR APPLICATION NUMBER: 60/255,111

PRIOR FILING DATE: 2000-12-14

NUMBER OF SEQ ID NOS: 48

SOFTWARE: PatentIn Ver. 2.1

SEQ ID NO 8

LENGTH: 2673

TYPE: DNA

ORGANISM: Aequorea victoria

US-11-149-177-8

```

Query Match      47.1%; Score 332.2; DB 3; Length 2673;
Best Local Similarity 70.1%; Pred. No. 1.3e-73;
Matches 480; Conservative 0; Mismatches 193; Indels 12; Gaps 2;

```

```

Qy      1 ATGAGCAGCGCGCCCTGCTGTTCCAGGCAAGATCCCTACGTGGTGGAGATGAGGGCG 60
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db      1 ATGAGCAGGCGGAGGAGCTGTTCAACGGGGTGGTCCCATCTGCTGCGAGCTGACGCG 60
Qy      61 AATGTGATGAGGCAACCTTTCAGCATCCGCGCAAGGCTACGCGGATGCGAGCTGGGCG 120
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db      61 GACGTAAAGCGCCACAAGTTCAGCGTGTCCGCGCAGGGCGAGGGCGATGCCACCTACGCG 120
Qy      121 AAGGTGATGCCAGTTTCATCTGACCAACGCGCATGTGCGCCGTGCGCTGGAGACCCCTG 180
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db      121 AAGCTGACCTTGAGTTTCATCTGACCAACGCGCAAGCTGCGCCGTGCGCTGGCGCACCTT 180
Qy      181 GTGACCAACCTGACCTACGCGCGCCAGTGTCTGCGCAAGTACGCGCCCGAGCTGAAG--- 237
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db      181 GTGACCAACCTGACCTACGCGCGTGCAGTGTCTGACGCGCTACCCGACCATGAAGCAG 237
Qy      238 ---GATTCTTACAAAGCTGATGCGCCAGTGGCTACGTGCAAGGAGCGACCATCACCTTC 294
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db      241 CACGACTCTTCTCAAGTCCGCGATGCCCAAGGCTACGTGCAAGGAGCGACCATCTTCTTC 300
Qy      295 GAGGGGATAGGCAATTTCAAGACCCGCGCCAGGTTGACCTTCAGAAATGCGAGCTGTAC 354
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db      301 AAGGACAGCGCACTACAAGACCCGCGCGAGGTGAAGTTCAGGGGCGACACCTTGGTG 360
Qy      355 AATCGCTGTAAGCTGAATGCGCAAGGCTTCAGAAAGATGCGCACTGCTGAGCAAGAT 414
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db      361 AACCGCATCGACTGAAAGGCGATGACCTTCAGGAGGAGCGCAACATCTTGAGGCAAG 420
Qy      415 CTGAGATTCATTTTCACTCCCGCACTGCTGTACATCTGGGCGGACATCGCAACACGCG 474
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db      421 CTGAGATTCATTTTCACTCCCGCACTGCTGTATATCATGCGCGACAGCAGGAAGACGCG 480

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Art Unit: 1632

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Qy      475  CTGAAGAGCGCCTTCAAGATCTGCCACGAGATCACCGCAGCAAGGGCGATTTCATCGTG 534
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db      481  ATCAAGGCCAATCTTCAAGATCCGCCACAAACATCGAGGACGCGACGTCGACGCTC----- 534

Qy      535  GCGGATCACACACAGATGAATACCCCATCGCGCGCGCCCCGTGCAGTGCCTCCGATGAC 594
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db      535  GCGGACCATACACAGCAGAACCCCATCGGCGACGCGCGCGTGTCTGTGCCCAAGAAC 594

Qy      595  CACCAGATGAGCTACCACTGAGCTGAGCTGACCAAGGATGTACCGATCACCOCGATAATATG 654
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db      595  CACTACTGTAGCACCACCTGCGCCCTGAGCAAGAACCCCAACGAGAACGCGATCACATG 654

Qy      655  AGCCTGAAGGAGACCTGCGCCGCGC 679
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db      655  GTCTCTGTGAGTTCGTGACCGCGC 679

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RESULT 5

US-11-149-177-12 (SEQ ID NO. 12)

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; Sequence 12, Application US/11149177
; Publication No. US20080213879A1
; GENERAL INFORMATION
; APPLICANT: BAUMET, VALERIE
; APPLICANT: LE MOUILLIC, HERVE
; APPLICANT: RULET, PHILIPPE
; TITLE OF INVENTION: CHEMIC GTP-AMINOIN AS BIOLUMINESCENT Ca++ REPORTERS
; TITLE OF INVENTION: AT THE SINGLE CELL LEVEL
; FILE REFERENCE: 03495-0207-00000
; CURRENT APPLICATION NUMBER: US/11/149,177
; CURRENT FILING DATE: 2008-06-10
; PRIOR APPLICATION NUMBER: 09863901
; PRIOR FILING DATE: 2001-05-24
; PRIOR APPLICATION NUMBER: 60/208,314
; PRIOR FILING DATE: 2000-06-01
; PRIOR APPLICATION NUMBER: 60/210,526
; PRIOR FILING DATE: 2000-06-06
; PRIOR APPLICATION NUMBER: 60/255,111
; PRIOR FILING DATE: 2000-12-14
; NUMBER OF SEQ ID NOS: 48
; SOFTWARE: Patent In Ver. 2.1
; SEQ ID NO 12
; LENGTH: 2718
; TYPE: DNA
; ORGANISM: Aequorea victoria
US-11-149-177-12

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Query Match      47.1%; Score 332.2; DB 3; Length 2718;
Best Local Similarity 70.1%; Pred. No. 1.3e-73;
Matches 480; Conservative 0; Mismatches 193; Indels 12; Gaps 2;

Qy      1  ATGAGCAGCGCGCCTGCTGTTCCACGCAAGATCCCTACGTGGTGGAGATGGAGGGC 6
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db     1288  ATGAGCAGGGCGAGGAGCTGTTCCACCGGGTGTGTCCTGCTGGTGTGACGCGC 1347

Qy      61  AATGTGGATGCCACACCTTCAGCATCCCGGCAAGGGCTACGCGATGCCAGCTGGGCG 120
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db     1348  GACGTAAACGGCCACAAGTTCAGCGTGTCCGCGAGGGCGAGGGCGATGCCACCTACGGC 1407

Qy     121  AAGGTGGATGCCACCTTCATCTGACCAACCGCGCATGTGACCTGTGCGCTGGAGCACCTG 180
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db     1408  AAGCTGACCTGAAATTCATCTGACCAACCGCGCAAGCTGCGCGTGGCGCTGGCCCTC 1467

Qy     181  GTGACCACTGTGACCTACGGCGCCAGGTGCTGACCAAGTACGGCCCGGAGCTGAAG--- 237
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db     1468  GTGACCACTGTGACCTACGGCGTGGAGTGTCTGACGCGCTACCCCGACCATGAAGAC 1527

Qy     238  ---GATTTCACAGAGCTGCATGCCCGATGGCTAGCTGCAAGGAGCGACCATCACTTC 294
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db     1528  CACGACTTCTTCAAGTGCGCCATGCCGGAAGGCTAGCTCCAGGAGCGCAACATCTCTTCC 1587

Qy     295  GAGGGCGATGGCAATTCAGAGCCCGCGCGAGGTGACCTTCGAGATGGCAGCGGTGAC 354
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db     1588  AAGGAGACGCGCACTACAAGCCCGCGCGAGGTGAAGTTCAGGGGCGACACCTCGTGT 1647

Qy     355  AATCGCGTGAAGCTGAATGCCAGGGCTTCAAGAGGATGGCCAGCTGTGGCCAAAGAT 414
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db     1648  AACCAGCATCGAGTGAAGGGCATGCACTTCAAGGAGGACGCGCAACATCTGTGGCAAG 1707

Qy     415  CTGAGATCAATTTCACCCCGCATGCTGTACATCTGGGGCGCATCAGGCCAATCAGCGC 474
      | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
Db     1708  CTGGGTACACTACAACAGCCACAACTGTATATGCTGACAGGCAAGGAAAGCGCG 1767

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Art Unit: 1632

Qy 475 CTGAAGAGCGCCTTCAAGATCTGCACAGATACCGGACGCAAGGCGATTTCATCGTG 534
 Db 1768 ATCAAGGCGCAACTTCAAGATCCGCCACCAATCATCGAGGACGCGAGCTCGAGCTC----- 1821

Qy 535 GCCGATCACACAGATGAATACCCCATCGCGCGCGCCCGCTGCACGTGCCGAGTAC 594
 Db 1822 CGCGACCACTACGAGCAACACCCCATCGCGGACGCGCGCGCTGCTGCCCGACAAC 1881

Qy 595 CACCACATGAGCTACCGAGTGAAGCTGAGCAAGGATGTGACCGATCACCGGGATAATATG 654
 Db 1882 CACTACCTGAGCACCCATCCGCTTGAAGCAAGACCCCAACGAGGCGCGCATCATG 1941

Qy 655 AGCCTGAAGGAGACCGTGCGCGCGC 679
 Db 1942 GTCTCTGCGAGTTCGTGACCGCGC 1966

RESULT 6

US-11-149-177-7 (SEQ ID NO. 7)

; Sequence 7, Application US/11149177
 ; Publication No. US20080213879A1
 ; GENERAL INFORMATION
 ; APPLICANT: SAURET, VALERIE
 ; APPLICANT: MOELLIC, HERVE
 ; APPLICANT: BRULET, PHILIPPE
 ; TITLE OF INVENTION: CHIMERIC GFP-ABEQUORIN AS BIOLUMINESCENT Ca++ REPORTERS
 ; TITLE OF INVENTION AT THE SINGLE CELL LEVEL
 ; FILE REFERENCE: 03495-0207-0000
 ; CURRENT APPLICATION NUMBER: US/11/149,177
 ; CURRENT FILING DATE: 2005-06-10
 ; PRIOR APPLICATION NUMBER: 09663901
 ; PRIOR FILING DATE: 2001-05-24
 ; PRIOR APPLICATION NUMBER: 60/208,314
 ; PRIOR FILING DATE: 2000-06-01
 ; PRIOR APPLICATION NUMBER: 60/210,526
 ; PRIOR FILING DATE: 2000-06-06
 ; PRIOR APPLICATION NUMBER: 60/255,111
 ; PRIOR FILING DATE: 2000-12-14
 ; NUMBER OF SEQ ID NOS: 48
 ; SOFTWARE: Patent In Ver. 2.1
 ; SEQ ID NO 7
 ; LENGTH: 3973
 ; TYPE: DNA
 ; ORGANISM: Aequorea victoria
 US-11-149-177-7

Query Match 47.14; Score 332.2; DB 3; Length 3973;
 Best Local Similarity 70.14; Pred. No. 1.3e-73;
 Matches 480; Conservative 0; Mismatches 193; Indels 12; Gaps 2;

Qy 1 ATGAGCAGCGGCGCCTTCAAGATCTGCACAGATACCCCATCGTGGGAGATGAAGGCG 60
 Db 1 ATGAGCAGGCGCGAGGAGCTGTTCACCGGGTGTGCGCCATCTTGGTGAAGTGAAGGCG 60

Qy 61 AATGTGGAATGGCCACACCTTCAGATCATCGGCGCAAGGGCTACGCGGATGCCAGCGTGGCG 120
 Db 62 GACGTAAACGGCCACAAGATTCAAGCTGTCTCCGCGAGGCGAGGGCGATGCCACCTACGCG 120

Qy 121 AAGGTGGAATGCCAGTTCATCTGCACACCGGCGATGTGCCCGTGCCCTGGAGCACCGCTG 180
 Db 122 AAGCTGACCTTGAAGTTCATCTGCACACCGGCGAGCTGCCCGTGCCCTGGAGCACCGCTG 180

Qy 181 GTGACACCGCTGACCTACGGGCGCCAGTGCTTGCACCAAGTACGGCCCGGAGCTGAAG--- 237
 Db 182 GTGACACCGCTGACCTACGGGCGCCAGTGCTTGCACCGCTACCGCGACCATGAAGGAG 237

Qy 238 ---GATTCTCAAGAGCTGCATGCCCGATGGCTACGTGCGAGGAGCGACCATCACTCTC 294
 Db 241 CACGACTTCTCAAGTCCGCAATGCCCGAGGCTACGTGCGAGGAGCGACCATCTCTCTC 300

Qy 295 GAGGGCGATGGCAATTTCAAGACCCGCGCCGAGGTGACCTTCGAGGAATGGCAGCGTGTAC 354
 Db 301 AAGGACCGGCGCACTACAGAGCCGCGCGAGGTGAAGTTTGAAGGCGCACCTCTGGTG 360

Qy 355 AATCGGCTGAAGCTGAATGGCGAGGCTTCAAGAAAGTGGCCACGTGCTGGGCAAGAT 414
 Db 361 AACCGCATCGACTGAAGGAGATCGACTTCAAGGAGGAGCGCAATCATCTGGGCGCAAG 420

Qy 415 CTGAGATTCAATTTACCCCCACCTGCTGTACATCTGGGGCGATCAGGCCAATCAGCGC 474
 Db 421 CTGAGATCAACATCAACAGCCCAACAGCTCTATATCATGTGCCGACAGCAGAGAAAGCGC 480

Qy 475 CTGAAGAGCGCCTTCAAGATCTGCACAGATACCGGACGCAAGGCGATTTCATCGTG 534

DB 481 ATCAAGCGCACTCAAGATGCGCCACCAATCGAGAGCGGACGCTGAGCTC----- 534

Qy 535 GCGCATCACACCGAGTGAATACCCCGATCGCGCGCGCGCGCTGCAGCTCGCGGAGTAC 594

DB 535 GCGCATCACACCGAGTGAATACCCCGATCGCGCGCGCGCTGCAGCTCGCGGAGTAC 594

Qy 535 GCGCATCACACCGAGTGAATACCCCGATCGCGCGCGCGCTGCAGCTCGCGGAGTAC 594

DB 595 CACCATCATCTAGCATACCATGATCGAGTCGAGCGAGCGCTGCTGCTGTCGCGCAATAT 654

Qy 595 CACTACTCTGAGACCCATCTCGCCCTGGAGCAAGGCCCAACGAGAGCGCGATCATCAT 654

DB 655 GTCCTGAAGAGACCTGCGCCGCG 679

Qy 655 GTCCTGCTGGATCTGTCGACCGCG 679

6. Claims 1, 5-8, 13, 17, and 27-30 are rejected under 35 U.S.C. 102(b) as being anticipated by Baubet et al. (PCT/EP01/07057, WO 2001/092300, filed on 06/01/2001).

The following claim interpretations are applied in this rejection.

(i) Claim 1 reads as follows: An isolated nucleic acid molecule comprising nucleotide sequences, which encodes a fluorescent protein having at least 85% identity with an amino acid sequence selected from the group consisting of SEQ ID NOs: 2, 4, 6, **10**, 12, 14, 16, 18, 20, and 22. Claim 1 reads on any isolated nucleic acid molecule comprising nucleotide sequences, which encodes a fluorescent protein having amino acid sequences that have at least 85% identity of any fragment of SEQ ID No. 10. It is emphasized that the phrase “consisting of SEQ ID NOs: 2, 4, 6, **10**, 12, 14, 16, 18, 20, and 22” is only limiting to one of recited SEQ ID No and does not limit the transitional term “comprising” recited in line 1 of claim 1. In this regard, MPEP 2111.02 states: In determining the scope of applicant’s claims directed to “a purified oligonucleotide comprising at least a portion of the nucleotide sequence of SEQ ID NO:1 wherein said portion consists of the nucleotide sequence from ... to 2473 of SEQ ID NO:1, and wherein said portion of the nucleotide sequence of SEQ ID NO:1 has promoter activity,” the court stated that the use

of “consists” in the body of the claims did not limit the open-ended “comprising” language in the claims (emphases added). *Id.* at 1257, 73 USPQ2d at 1367.

(ii) Claim 13 reads as follows: A nucleic acid molecule having a sequence that is substantially the same as, or identical to a nucleotide sequence of at least 300 residues in length of the nucleic acid molecule according to claim 1. The limitation “at least 300 residues in length of the nucleic acid molecule” reads on those identical sequences that are not necessarily continuous.

(iii) Claim 29 is interpreted as a dependent claim of claim 1, rather than a dependent claim of claim 26, which is cancelled.

With regard to claims 1, 5-8, 13, and 27-30, Baubet et al. teaches a modified bioluminescent system comprising a fluorescent molecule covalently linked with a photoprotein, wherein said link between the two proteins has the function to stabilize the modified bioluminescent system and allowing the transfer of the energy by Chemiluminescence Resonance Energy Transfer (CRET) in a host cell (See abstract and Figures 9-11, Baubet et al. US 2008/0213879). Baubet et al. teaches DNA construct with CMV promoter drive the expression of nucleic acid sequences encoding sequences of mutated GFP, followed by the sequences of Poly A of SV40 (See Figure 1, PCT/EP01/07057, WO 2001/092300, filed on 06/01/2001).

With regard to the limitation “kit” recited in claim 17, Baubet et al. teaches kit for measuring the transfer of energy in vivo or in vitro contains at least one of the polypeptides according to the invention or the polynucleotide according to the invention and the reagents

necessary for visualizing or detecting the said transfer in presence or in absence of a molecule of interest (See paragraph [0021], PCT/EP01/07057, WO 2001/092300, filed on 06/01/2001)

It is noted that Baubet et al. (PCT/EP01/07057, WO 2001/092300, filed on 06/01/2001) discloses the same DNA construct and SEQ ID Numbers as those disclosed in Baubet et al. (Baubet et al., US 2008/0213879). The sequence alignments have been presented in the preceding 102(e) rejection.

Thus, Baubet et al. (PCT/EP01/07057, WO 2001/092300, filed on 06/01/2001) clearly anticipates claims 1, 5-8, 13, 17, and 27-30 of instant application.

Conclusion

7. No claim is allowed.

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication from the examiner should be directed to Wu-Cheng Winston Shen whose telephone number is (571) 272-3157 and Fax number is 571-273-3157. The examiner can normally be reached on Monday through Friday from 8:00 AM to 4:30 PM. If attempts to reach the examiner by telephone are unsuccessful, the Supervisory Patent Examiner, Peter Paras, Jr. can be reached on (571) 272-4517. The fax number for TC 1600 is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Wu-Cheng Winston Shen/
Patent Examiner
Art Unit 1632